

Form **990EZ**  
Department of the Treasury  
Internal Revenue Service

**Short Form**  
**Return of Organization Exempt From Income Tax**  
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

OMB No. 1545-1150  
**2019**  
**Open to Public Inspection**

Do not enter social security numbers on this form as it may be made public.  
Go to [www.irs.gov/Form990EZ](http://www.irs.gov/Form990EZ) for instructions and the latest information.

**A For the 2019 calendar year, or tax year beginning 10-01-2019, and ending 09-30-2020**

- B Check if applicable:  
 Address change  
 Name change  
 Initial return  
 Final return/terminated  
 Amended return  
 Application pending

C Name of organization  
AMERICAN LEGION AUXILIARY -  
DEPARTMENT OF KENTUCKY INC  
Number and street (or P. O. box, if mail is not delivered to street address) Room/suite  
134 WALNUT STREET  
City or town, state or province, country, and ZIP or foreign postal code  
FRANKFORT, KY 40601

D Employer identification number  
61-0118319  
E Telephone number  
(502) 352-2380  
F Group Exemption Number

G Accounting Method:  Cash  Accrual Other (specify) \_\_\_\_\_

H Check  if the organization is not required to attach Schedule B (Form 990, 990-EZ, or 990-PF).

I Website: [WWW.KYAMLEGIONAUX.ORG](http://WWW.KYAMLEGIONAUX.ORG)  
J Tax-exempt status (check only one) -  501(c)(3)  501(c)(19) (insert no.)  4947(a)(1) or  527

K Form of organization:  Corporation  Trust  Association  Other \_\_\_\_\_  
L Add lines 5b, 6c, and 7b to line 9 to determine gross receipts. If gross receipts are \$200,000 or more, or if total assets (Part II, column (B) below) are \$500,000 or more, file Form 990 instead of Form 990-EZ. **\$ 141,277**

**Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances** (see the instructions for Part I)  
Check if the organization used Schedule O to respond to any question in this Part I

Revenue	1	Contributions, gifts, grants, and similar amounts received	1	14,820
	2	Program service revenue including government fees and contracts	2	32,285
	3	Membership dues and assessments	3	91,851
	4	Investment income	4	2,321
	5a	Gross amount from sale of assets other than inventory	5a	
	b	Less: cost or other basis and sales expenses	5b	
	c	Gain or (loss) from sale of assets other than inventory (Subtract line 5b from line 5a)	5c	
	6	Gaming and fundraising events		
	a	Gross income from gaming (attach Schedule G if greater than \$15,000)	6a	
	b	Gross income from fundraising events (not including \$ _____ of contributions from fundraising events reported on line 1) (attach Schedule G if the sum of such gross income and contributions exceeds \$15,000)	6b	
c	Less: direct expenses from gaming and fundraising events	6c		
d	Net income or (loss) from gaming and fundraising events (add lines 6a and 6b and subtract line 6c)	6d		
7a	Gross sales of inventory, less returns and allowances	7a		
b	Less: cost of goods sold	7b		
c	Gross profit or (loss) from sales of inventory (Subtract line 7b from line 7a)	7c		
8	Other revenue (describe in Schedule O)	8		
9	<b>Total revenue.</b> Add lines 1, 2, 3, 4, 5c, 6d, 7c, and 8	9	141,277	

Expenses	10	Grants and similar amounts paid (list in Schedule O)	10	
	11	Benefits paid to or for members	11	
	12	Salaries, other compensation, and employee benefits	12	24,182
	13	Professional fees and other payments to independent contractors	13	3,859
	14	Occupancy, rent, utilities, and maintenance	14	5,750
	15	Printing, publications, postage, and shipping	15	4,852
	16	Other expenses (describe in Schedule O)	16	103,737
17	<b>Total expenses.</b> Add lines 10 through 16	17	142,380	
Net Assets	18	Excess or (deficit) for the year (Subtract line 17 from line 9)	18	-1,103
	19	Net assets or fund balances at beginning of year (from line 27, column (A)) (must agree with end-of-year figure reported on prior year's return)	19	339,148
	20	Other changes in net assets or fund balances (explain in Schedule O)	20	6,810
	21	Net assets or fund balances at end of year. Combine lines 18 through 20	21	344,855

**Part II**

**Balance Sheets** (see the instructions for Part II)

Check if the organization used Schedule O to respond to any question in this Part II

	(A) Beginning of year		(B) End of year
<b>22</b> Cash, savings, and investments . . . . .	341,557	<b>22</b>	345,049
<b>23</b> Land and buildings . . . . .		<b>23</b>	
<b>24</b> Other assets (describe in Schedule O) . . . . .	22,202	<b>24</b>	19,191
<b>25 Total assets</b> . . . . .	363,759	<b>25</b>	364,240
<b>26 Total liabilities</b> (describe in Schedule O). . . . .	24,611	<b>26</b>	19,385
<b>27 Net assets or fund balances</b> (line 27 of column (B) <b>must</b> agree with line 21)	339,148	<b>27</b>	344,855

**Part III** **Statement of Program Service Accomplishments** (see the instructions for Part III)

Check if the organization used Schedule O to respond to any question in this Part III

What is the organization's primary exempt purpose?  
 PROMOTE PATRIOTISM IN THE COMMUNITY

Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. In a clear and concise manner, describe the services provided, the number of persons benefited, and other relevant information for each program title.

**Expenses**  
 (Required for section 501(c)(3) and 501(c)(4) organizations; optional for others.)

<b>28</b> See Additional Data Table		<b>28a</b>	
(Grants \$ ) If this amount includes foreign grants, check here . . . . ▶ <input type="checkbox"/>		<b>29a</b>	
<b>29</b> See Additional Data Table		<b>30a</b>	
(Grants \$ ) If this amount includes foreign grants, check here . . . . ▶ <input type="checkbox"/>		<b>31a</b>	
<b>30</b>		<b>32</b>	
(Grants \$ ) If this amount includes foreign grants, check here . . . . ▶ <input type="checkbox"/>			
<b>31</b> Other program services (describe in Schedule O) . . . . .			
(Grants \$ ) If this amount includes foreign grants, check here . . . . ▶ <input type="checkbox"/>			
<b>32 Total program service expenses</b> (add lines 28a through 31a)			

**Part IV**

**List of Officers, Directors, Trustees, and Key Employees** (list each one even if not compensated — see the instructions for Part IV)

Check if the organization used Schedule O to respond to any question in this Part IV.

(a) Name and title	(b) Average hours per week devoted to position	(c) Reportable compensation (Forms W-2/1099-MISC) (if not paid, enter -0-)	(d) Health benefits, contributions to employee benefit plans, and deferred compensation	(e) Estimated amount of other compensation
See Additional Data Table				

Part V Other Information (Note the Schedule A and personal benefit contract statement requirements in the instructions for Part V.) Check if the organization used Schedule O to respond to any question in this Part V . . . . .

		Yes	No
<b>33</b>	Did the organization engage in any significant activity not previously reported to the IRS? If "Yes," provide a detailed description of each activity in Schedule O . . . . .		No
<b>34</b>	Were any significant changes made to the organizing or governing documents? If "Yes," attach a conformed copy of the amended documents if they reflect a change to the organization's name. Otherwise, explain the change on Schedule O. See instructions. . . . .		No
<b>35a</b>	Did the organization have unrelated business gross income of \$1,000 or more during the year from business activities (such as those reported on lines 2, 6a, and 7a, among others)? . . . . .		No
<b>b</b>	If "Yes," to line 35a, has the organization filed a Form 990-T for the year? If "No," provide an explanation in Schedule O . . . . .		
<b>c</b>	Was the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization subject to section 6033(e) notice, reporting, and proxy tax requirements during the year? If "Yes," complete Schedule C, Part III . . . . .		No
<b>36</b>	Did the organization undergo a liquidation, dissolution, termination, or significant disposition of net assets during the year? If "Yes," complete applicable parts of Schedule N . . . . .		No
<b>37a</b>	Enter amount of political expenditures, direct or indirect, as described in the instructions. ▶ <b>37a</b> _____		
<b>b</b>	Did the organization file <b>Form 1120-POL</b> for this year? . . . . .		No
<b>38a</b>	Did the organization borrow from, or make any loans to, any officer, director, trustee, or key employee or were any such loans made in a prior year and still outstanding at the end of the tax year covered by this return? . . . . .		No
<b>b</b>	If "Yes," complete Schedule L, Part II and enter the total amount involved . . . . .	<b>38b</b> _____	
<b>39</b>	Section 501(c)(7) organizations. Enter: . . . . .		
<b>a</b>	Initiation fees and capital contributions included on line 9 . . . . .	<b>39a</b> _____	
<b>b</b>	Gross receipts, included on line 9, for public use of club facilities . . . . .	<b>39b</b> _____	
<b>40a</b>	Section 501(c)(3) organizations. Enter amount of tax imposed on the organization during the year under: section 4911 ▶ _____; section 4912 ▶ _____; section 4955 ▶ _____		
<b>b</b>	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in any section 4958 excess benefit transaction during the year, or did it engage in an excess benefit transaction in a prior year that has not been reported on any of its prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I . . . . .		<b>40b</b>
<b>c</b>	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Enter amount of tax imposed on organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 ▶ _____		
<b>d</b>	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Enter amount of tax on line 40c reimbursed by the organization ▶ _____		
<b>e</b>	All organizations. At any time during the tax year, was the organization a party to a prohibited tax shelter transaction? If "Yes," complete Form 8886-T . . . . .		<b>40e</b> No
<b>41</b>	List the states with which a copy of this return is filed. ▶ <u>KY</u>		
<b>42a</b>	The organization's books are in care of ▶ <u>AMERICAN LEGION AUXILIARY</u> Telephone no. ▶ <u>(502) 352-2380</u> Located at ▶ <u>134 WALNUT STREET FRANKFORT, KY</u> ZIP + 4 ▶ <u>40601</u>		

		Yes	No
<b>b</b>	At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? . . . . . If "Yes," enter the name of the foreign country: ▶ _____  See the instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).		No
<b>c</b>	At any time during the calendar year, did the organization maintain an office outside the U.S.? . . . . . If "Yes," enter the name of the foreign country: ▶ _____		No
<b>43</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-EZ in lieu of <b>Form 1041</b> - Check here . . . . . <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the tax year . . . . . ▶ <b>43</b> _____		

		Yes	No
<b>44a</b>	Did the organization maintain any donor advised funds during the year? If "Yes," Form 990 must be completed instead of Form 990-EZ . . . . .		No
<b>b</b>	Did the organization operate one or more hospital facilities during the year? If "Yes," Form 990 must be completed instead of Form 990-EZ . . . . .		No
<b>c</b>	Did the organization receive any payments for indoor tanning services during the year? . . . . .		No
<b>d</b>	If "Yes," to line 44c, has the organization filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O . . . . .		
<b>45a</b>	Did the organization have a controlled entity within the meaning of section 512(b)(13)? . . . . .		No
<b>45b</b>	Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," Form 990 and Schedule R may need to be completed instead of Form 990-EZ (see instructions) . . . . .		No

	<b>Yes</b>	<b>No</b>
<b>46</b> Did the organization engage, directly or indirectly, in political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I. . . . .	<b>46</b>	No

**Part VI Section 501(c)(3) Organizations Only**  
 All section 501(c)(3) organizations must answer questions 47- 49b and 52, and complete the tables for lines 50 and 51. Check if the organization used Schedule O to respond to any question in this Part VI . . . . .

	<b>Yes</b>	<b>No</b>
<b>47</b> Did the organization engage in lobbying activities or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II . . . . .	<b>47</b>	
<b>48</b> Is the organization a school as described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E . . . . .	<b>48</b>	
<b>49a</b> Did the organization make any transfers to an exempt non-charitable related organization? . . . . .	<b>49a</b>	
<b>b</b> If "Yes," was the related organization a section 527 organization? . . . . .	<b>49b</b>	

**50** Complete this table for the organization's five highest compensated employees (other than officers, directors, trustees and key employees) who each received more than \$100,000 of compensation from the organization. If there is none, enter "None."

(a) Name and title of each employee	(b) Average hours per week devoted to position	(c) Reportable compensation (Forms W-2/1099-MISC)	(d) Health benefits, contributions to employee benefit plans, and deferred compensation	(e) Estimated amount of other compensation

**f** Total number of other employees paid over \$100,000 . . . . . ▶ \_\_\_\_\_

**51** Complete this table for the organization's five highest compensated independent contractors who each received more than \$100,000 of compensation from the organization. If there is none, enter "None."

(a) Name and business address of each independent contractor	(b) Type of service	(c) Compensation

**d** Total number of other independent contractors each receiving over \$100,000. . . . . ▶ \_\_\_\_\_

**52** Did the organization complete Schedule A? **NOTE.** All section 501(c)(3) organizations must attach a completed Schedule A . . . . . ▶  Yes  No

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

<b>Sign Here</b> Signature of officer ***** VIRGINIA HOBBS TREASURER Type or print name and title	2021-01-22 Date
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<b>Paid Preparer Use Only</b>	Print/Type preparer's name DAVID R HARROD CPA	Preparer's signature	Date 2021-02-08	Check <input type="checkbox"/> if self-employed	PTIN P00875654
	Firm's name ▶ HARROD & ASSOCIATES			Firm's EIN ▶ 61-1291670	
	Firm's address ▶ 2 HMB CIRCLE A FRANKFORT, KY 406012351			Phone no. (502) 695-7300	

May the IRS discuss this return with the preparer shown above? See instructions . . . . . ▶  Yes  No

# Additional Data

**Software ID:**  
**Software Version:**  
**EIN:** 61-0118319  
**Name:** AMERICAN LEGION AUXILIARY -  
DEPARTMENT OF KENTUCKY INC

## Form 990EZ, Part III - Statement of Program Service Accomplishments

<b>Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. In a clear and concise manner, describe the services provided, the number of persons benefited, and other relevant information for each program title.</b>	<b>Expenses (Required for section 501(c)(3) and 501(c)(4) organizations; optional for others.)</b>	
<p><b>28</b> CONTRIBUTIONS TO VARIOUS VETERANS PROGRAMS - INCLUDING PRESIDENT'S INITIATIVE TO ASSIST A VETERAN RELATED ORGANIZATION. (Grants \$ )</p> <p style="text-align: right;">If this amount includes foreign grants, check here . . . <input type="checkbox"/></p>	<b>28a</b>	

**Form 990EZ, Part III - Statement of Program Service Accomplishments**

<p><b>Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. In a clear and concise manner, describe the services provided, the number of persons benefited, and other relevant information for each program title.</b></p>	<p><b>Expenses (Required for section 501(c)(3) and 501(c)(4) organizations; optional for others.)</b></p>	
<p><b>29</b>                      GIRLS STATE - SEND YOUNG LADIES TO A WEEKLONG CAMP TO TEACH CITIZENSHIP, LOVE FOR GOD AND COUNTRY                      (Grants \$ )</p> <p style="text-align: right;">If this amount includes foreign grants, check here . . . <input type="checkbox"/></p>	<p><b>29a</b></p>	

**Form 990EZ, Part IV — List of Officers, Trustees, and Key Employees**

(list each one even if not compensated — see the instructions for Part IV)

Check if the organization used Schedule O to respond to any question in this Part IV. . . . . 

<b>(a) Name and title</b>	<b>(b) Average hours per week devoted to position</b>	<b>(c) Reportable compensation (Forms W-2/1099-MISC) (If not paid, enter -0-)</b>	<b>(d) Health benefits, contributions to employee benefit plans, and deferred compensation</b>	<b>(e) Estimated amount of other compensation</b>
DONNA THURMAN FORMER PRESI	000.00	0		
JERILYNN KABEL NEC	1.00	0		
JENNIFER MULLINS SECRETARY	32.00	17,160		
PATTY MARTINEZ ALT. NEC	1.00	0		
KAREN TOLL 1ST VICE PRE	2.00	0		
BONITA ROBEY PRESIDENT	3.00	0		
RHONDA CHURCHILL SARGENT-AT-A	1.00	0		
LANA GOLEY FORMER PRESI	000.00	0		
EULA FOX PARLIAMENTAR	2.00	0		
SANDRA FORD 1ST DISTRICT	1.00	0		
JANE MCKNIGHT 2ND DISTRICT	1.00	0		
ALICE RULEY 3RD DISTRICT	1.00	0		
MARIS SHOUSE 4TH DISTRICT	1.00	0		
MELANIE KRAUSE 5TH DISTRICT	1.00	0		
LORI ACUFF 6TH DISTRICT	1.00	0		

**Form 990EZ, Part IV — List of Officers, Trustees, and Key Employees**

(list each one even if not compensated — see the instructions for Part IV)

Check if the organization used Schedule O to respond to any question in this Part IV. . . . . 

<b>(a) Name and title</b>	<b>(b) Average hours per week devoted to position</b>	<b>(c) Reportable compensation (Forms W-2/1099-MISC) (If not paid, enter -0-)</b>	<b>(d) Health benefits, contributions to employee benefit plans, and deferred compensation</b>	<b>(e) Estimated amount of other compensation</b>
NINA GRANGER 7TH DISTRICT	1.00	0		
BETTY BUTLER 8TH DISTRICT	1.00	0		
JOYCE JUSTICE 9TH DISTRICT	1.00	0		
EUNICE HALL 10TH DISTRICT	1.00	0		
HOLLY POWELL ASST SGT-AT-	1.00	0		
BARBARA AUSTIN FORMER PRESI	000.00	0		
DIANE BARBEE FORMER PRESI	000.00	0		
BRENDA BERRY FORMER PRESI	000.00	0		
BETTY LOU COOK FORMER PRESI	000.00	0		
KATHY DAUDISTEL FORMER PRESI	000.00	0		
MARY FENLEY FORMER PRESI	000.00	0		
RUTH JAMES FORMER PRESI	000.00	0		
KATHY LEWIS FORMER PRESI	000.00	0		
FRAN MOORE FORMER PRESI	000.00	0		
PAMELA BROUGH FORMER PRESI	000.00	0		



**Form 990EZ, Part IV — List of Officers, Directors, Trustees, and Key Employees**

(list each one even if not compensated — see the instructions for Part IV)

Check if the organization used Schedule O to respond to any question in this Part IV. . . . . 

<b>(a) Name and title</b>	<b>(b) Average hours per week devoted to position</b>	<b>(c) Reportable compensation (Forms W-2/1099-MISC) (If not paid, enter -0-)</b>	<b>(d) Health benefits, contributions to employee benefit plans, and deferred compensation</b>	<b>(e) Estimated amount of other compensation</b>
MARY SCHOBORG FORMER PRESI	000.00	0		
DIANE SPENCER FORMER PRESI	000.00	0		
HELEN WELLS FORMER PRESI	000.00	0		
PAT BYRANT FORMER PRESI	000.00	0		
SARA ROBEY FORMER PRESI	000.00	0		
SANDY OMER HISTORIAN	1.00	0		
NANCY MOSES 2ND VICE PRE	1.00	0		
APRIL WARD CHAPLIN	1.00	0		
VIRGINIA HOBBS TREASURER	3.00	0		
RUTH CONWAY ASST SGT-AT-	1.00	0		

**SCHEDULE O**  
(Form 990 or 990-EZ)**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

OMB No. 1545-0047

**2019****Open to Public Inspection**

Department of the Treasury

Name of the organization

AMERICAN LEGION AUXILIARY -  
DEPARTMENT OF KENTUCKY INC

Employer identification number

61-0118319

**990 Schedule O, Supplemental Information**

Return Reference	Explanation
FORM 990-EZ, PART I, LINE 16	EXPENSES OFFICE SUPPLIES 2,776 CONVENTIONS, MEETINGS 19,430 INSURANCE 1,329 VA EXPENSE 4,417 GIRLS STATE 767 NATIONAL DUES 50,083 PAST PRESIDENTS PROJECTS 11,565 AEF EMERGENCY FUND 850 MARSHALL SCHOLARSHIP 1,000 BLACKBURN SCHOLARSHIP 1,000 OTHER EXPENSES 9,947 NON-INVESTMENT DEPRECIATION 573 TOTAL 103,737

**990 Schedule O, Supplemental Information**

<b>Return Reference</b>	<b>Explanation</b>
FORM 990-EZ, PART I, LINE 20	OMITTED CD ADDED 6,810

**990 Schedule O, Supplemental Information**

<b>Return Reference</b>	<b>Explanation</b>
FORM 990-EZ, PART II, LINE 24	PREPAID EXPENSES AND DEFERRED CHARGES 15,539 13,101 15,434 15,434 LESS ACCUMULATED DEPRECIATION 8,771 9,344 TOTAL 22,202 19,191

**990 Schedule O, Supplemental Information**

<b>Return Reference</b>	<b>Explanation</b>
FORM 990-EZ, PART II, LINE 26	ACCOUNTS PAYABLE AND ACCRUED EXPENSES 1,838 1,726 DEFERRED REVENUE 22,773 17,659